

DISPATCH

CLASSIFICATION
S-E-C-R-E-T

DISPATCH SYMBOL AND NO.

EGMA-46722

TO Chief, EE
INFO Chief of Base, Berlin
Chief of Station, Germany

FROM Chief of Base, Munich

SUBJECT UPSWING, Operations
O Berlin Meeting with @ FRIESEN et al, 14-15 December
1959

ACTION REQUIRED: See paragraph 4

HEADQUARTERS FILE NO.

32E-6-181/1

FFN:

DATE

5 January 1960

RE: "43-3" - (CHECK "X" ONE)

XX MARKED FOR INDEXING

NO INDEXING REQUIRED

INDEXING CAN BE JUDGED
BY QUALIFIED HQ. DESK ONLY

REFERENCE(S)

DIR-OL287

1. Inasmuch as it was not feasible to conduct a surveillance of @ FRIESEN during his TDY in Berlin 14-15 December, as much social and business attention was paid him as possible in order to keep an eye on him. A Herrenabend on 14 December was designed partly for this purpose. Also, in order to get an exact schedule of @ FRIESEN's and @ HERMANN's trip, the undersigned saw the latter in his office on 9 December and mentioned the fact that BOB had invited us to a Herrenabend. This led to a discussion of the UPSWINGers' plans for the trip, as follows: @ HERMANN said that he and @ FRIESEN had made reservations to fly to Berlin on the 1215 plane from Munich 14 December (were booked for the same plane); @ HERMANN specifically requested that we not have a joint business meeting that same afternoon because the UPSWINGers wanted to have a dry run with @ BORG first; to the undersigned's question about how long the UPSWINGers wanted for the meeting on the 15th, @ HERMANN replied that they had planned to keep the whole day free and would return on the 0700 plane for Munich on 16 December. The undersigned said he wondered if so much time would be necessary for the meeting, noting that he had reservations for Munich on the 1530 plane, 15 December. @ HERMANN said that in view of the difficulty in obtaining plane tickets on short notice, they would stick to the original plan to return 16 December even if our business should be completed in reasonably short order on the 15th. He noted, however, that @ FRIESEN had not been able to secure a firm reservation but was on a waiting list for the 0700 flight the 16th. This struck the undersigned as unusual since @ FRIESEN, being @ HERMANN's superior, could easily and logically bump the latter. What seemed more unusual was the fact that when the undersigned called Air France as late as 13 December to try to change return ticket to the 0700 flight on 16 December, and thus attempt to get a chance to maintain coverage on @ FRIESEN until his departure, there was no difficulty in securing the desired space which was confirmed forthwith. Of course, it must be said that circumstances change very quickly as regards airline reservations, and there could be many plausible reasons why it would be difficult one day for @ FRIESEN to get a seat and another day easy for to get one.

2. Two other points regarding the 9 December meeting with @ HERMANN should be mentioned: one, to the original remark of the undersigned's on the matter of flying to Berlin as early as 13 December and thus having the whole weekend to enjoy themselves, @ HERMANN said that such was out of the question in view of the workloads both he and @ FRIESEN have. The latter was on TDY on 9 December and was not expected back until 10 or 11 December, more likely the latter. Thus @ HERMANN, who was being very grave about his responsibilities and those of @ FRIESEN, was apparently not in the mood to entertain what was designed to be a light remark about getting to Berlin early to have some fun. The other point was that @ HERMANN would not answer directly the question as to where he and @ FRIESEN planned to stay while in Berlin. He merely joked that the KUBARKers should try the Hilton but the UPSWINGers would find something cheaper. Probably no undue significance should be attached to this since among other things it is quite likely that @ HERMANN did not have firm hotel reservations at the time and planned to get them only after arriving in Berlin. The net result, however, was that we did not know where they were to stay.

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DATE 2005

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FORM 53b
10-57
(40)USE PREVIOUS EDITION.
REPLACES FORMS
51-28, 51-28A AND 51-29
WHICH ARE OBSOLETE.

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XX CONTINUED

PAGE 10.

1

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CONTINUATION OF
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3. The first indication we had that @ FRIESEN had changed his plans was our failure to spot him at the airport in Munich or later on the plane. He and @ BORG as well as [] met us at Tempelhof, the latter having been told by @ BORG that @ FRIESEN had come to Berlin on Saturday, 12 December in order to visit an "Erbonkel" who allegedly lives in West Berlin. At the Herrenabend, the undersigned expressed mild surprise that @ FRIESEN had beaten us to Berlin. He explained in some detail that since he has an "Erbonkel" living there, he felt it would be pleasant to visit him and had therefore asked UTILITY's permission to come early. He made a point of saying that UTILITY had granted the request (Note: from previous instances, we know that special permission for UPSWING Headquarters staffers' trips to Berlin is required.). @ FRIESEN said he arrived in Berlin on 12 December at about 1800 hours. Fog in Munich necessitated a bus ride to Nuernberg and a flight from there, with resultant delay in normal schedules. Thus, @ FRIESEN was in Berlin from that time until sometime in the morning of 14 December without "benefit" of escort, friend or UPSWING colleague (we have no information that he contacted @ BORG prior to 14 December).

4. Comments: The 14-15 December dates for the meeting in Berlin were first proposed by @ FRIESEN on 19 November; on 24 November, he was advised that the dates were acceptable to BOB. Thus, he had sufficient time to make such arrangements as might be necessary in order to go to Berlin on 12 December. If he had ulterior motives for wanting to get there in advance of the scheduled meeting dates, he would have to produce a good enough reason to persuade UTILITY or a front office representative to grant permission to cover both the pleasure and the business aspects of the trip. The uncle provided the reason. We do not doubt that such a person exists since one would not expect @ FRIESEN to invent a story that could easily be checked by his superiors. Our files do not contain specific information about the uncle; however, a visa application form @ FRIESEN made out on 20 July 1956 in connection with a trip to the United States lists his mother's maiden name as ULRICH, with the address: Berlin-Tempelhof, Kaiserin-Augusta-Strasse 51/b Ulbrich. The 1959 Berlin address book lists one

Alfred * ULRICH
no dobor available
Angestellter,
Kaiserin-Augusta-Str. 17
Berlin-Tempelhof

Despite the variation in the spelling of the name and in the street number, it seems a fair possibility that this individual is identical with the uncle of whom @ FRIESEN speaks. If he is indeed a relatively well-to-do person and qualifies for the distinction of being known as an "Erbonkel", one might wonder about such items as the fact that he apparently has no telephone (at least not listed in the Berlin telephone directory), lives in Tempelhof, and is an Angestellter. We suggest that BOB might be able to clarify some of these points, and we would be interested in having any information and traces addressees may have on this person. Munich Base files contain information on one

Alfred ULRICH
born 13 January 1910
at Gommern Kr. Pirna.

The information is based on EGBA-29210, 17 January 1956, which forwards a list prepared by [] of persons arrested by East German authorities for intelligence activities on behalf of various western agencies. ULRICH's name is on the list. All things considered, it seems unlikely that the two ULRICHTs are identical.

5. We theorize that @ FRIESEN got permission to go early to Berlin well in advance of the actual trip but refrained from informing @ HERMANN about his plans until the last minute. Thus he would have been able to forestall any possibility of @ HERMANN trying to tag along. In any case, @ FRIESEN had a good 40 hours in Berlin to himself, which, of course, would have afforded him ample opportunity to make such contacts as he desired.

6. There was nothing unusual about @ FRIESEN's attitude or behavior during the time we were with him in Berlin - with one possible exception. During the course of the Herrenabend, [] commented in some detail on UPSWING's AENIDUS study and the fact that it was not a compendium of all available data. It was noted in this regard that the study did not draw on CAVATA or other German agencies' information, which would have been very useful. @ FRIESEN reacted noticeably and made quite a point of the fact that UPSWING finds itself in a competitive position not only as regards CAVATA but, as he inferred, other intelligence agencies as well. He made no effort to disguise his feelings on this subject. The duplication of effort, the overlapping of responsibilities, the unclear lines of authority in the German intelligence community are anathema to @ FRIESEN. That a frustrated intelligence officer should so express himself is not remarkable; however, the manner in which the observations were made - almost a sneer - impressed the undersigned as a bit unusual since @ FRIESEN normally is careful and correct in talking about other services, German or allied. At any rate, he left little room for doubt that he considers anything approaching the application of democratic principles to the business of intelligence as so much bunkum. He spoke longingly of the "old days" (RSHA) when "things" were different and authority and discipline were understood and respected. Admittedly, all of this may mean little or nothing in terms of trying to get a truer picture of @ FRIESEN, and it was by no means the first time that the undersigned has heard an UPSWINGER (or @FRIESEN) vent his spleen on the confusion in the German intelligence scene. But it was the first time that the undersigned has heard @ FRIESEN say so much so vehemently on the subject in front of so many persons. The impression was quite clear that authoritarianism is something comprehensible to @ FRIESEN. Whether or not he feels that such should be carried over into all forms of German national life is speculative.

7. The fact that the UPSWINGERs had scheduled a meeting with a Berlin colleague for the afternoon of the 15th; the fact that no good opening presented itself to propose further social activity for that evening (which @ FRIESEN probably would have turned down anyway if for no other reason than that he [] he did not get to sleep until 5 a.m. that day as a result of drinking too much coffee at the Herrenabend; also @ HERMANN had had a rough night - and confirmed @ FRIESEN's statements - but not due to drinking too much coffee); and the fact that @ FRIESEN had already had ample time on the 13th to do as he pleased in Berlin - all combined to render further efforts to stick with @ FRIESEN impractical. Accordingly, it was decided that [] [] should change his reservations and return with [] to Munich late December 15th.

Approved by: []

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2 - Chief, EE
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